

## Extensions of Enestrom-Kakeya Theorem

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### ABSTRACT:

In this paper we give an extension of the famous Enestrom-Kakeya Theorem, which generalizes many generalizations of the said theorem as well.

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### I. INTRODUCTION AND STATEMENT OF RESULTS

A famous result giving a bound for all the zeros of a polynomial with real positive monotonically decreasing coefficients is the following result known as Enestrom-Kakeya theorem [8]:

**Theorem A:** Let  $P(z) = \sum_{j=0}^n a_j z^j$  be a polynomial of degree  $n$  such that

$$a_n \geq a_{n-1} \geq \dots \geq a_1 \geq a_0 > 0.$$

Then all the zeros of  $P(z)$  lie in the closed disk  $|z| \leq 1$ .

If the coefficients are monotonic but not positive, Joyal, Labelle and Rahman [6] gave the following generalization of Theorem A:

**Theorem B:** Let  $P(z) = \sum_{j=0}^n a_j z^j$  be a polynomial of degree  $n$  such that

$$a_n \geq a_{n-1} \geq \dots \geq a_1 \geq a_0.$$

Then all the zeros of  $P(z)$  lie in the closed disk  $|z| \leq \frac{a_n - a_0 + |a_0|}{|a_n|}$ .

Aziz and Zargar [1] generalized Theorem B by proving the following result:

**Theorem C:** Let  $P(z) = \sum_{j=0}^n a_j z^j$  be a polynomial of degree  $n$  such that for some  $k \geq 1$ ,

$$ka_n \geq a_{n-1} \geq \dots \geq a_1 \geq a_0.$$

Then all the zeros of  $P(z)$  lie in the closed disk

$$|z + k - 1| \leq \frac{ka_n - a_0 + |a_0|}{|a_n|}.$$

Gulzar [4,5] generalized Theorem C to polynomials with complex coefficients and proved the following results:

**Theorem D:** Let  $P(z) = \sum_{j=0}^n a_j z^j$  be a polynomial of degree  $n$  with  $\operatorname{Re}(a_j) = \alpha_j$ ,

$\operatorname{Im}(a_j) = \beta_j, j = 0, 1, \dots, n$  such that for some  $k \geq 1, 0 < \tau \leq 1$ ,

$$k\alpha_n \geq \alpha_{n-1} \geq \dots \geq \alpha_1 \geq \tau\alpha_0.$$

Then all the zeros of  $P(z)$  lie in the closed disk

$$\left| z + (k-1) \frac{\alpha_n}{a_n} \right| \leq \frac{k\alpha_n + 2|\alpha_0| - \tau(\alpha_0 + |\alpha_0|) + 2\sum_{j=0}^n |\beta_j|}{|a_n|}.$$

**Theorem E:** Let  $P(z) = \sum_{j=0}^n a_j z^j$  be a polynomial of degree  $n$  with  $\text{Re}(a_j) = \alpha_j$ ,

$\text{Im}(a_j) = \beta_j, j = 0, 1, \dots, n$  such that for some  $k \geq 1, 0 < \tau \leq 1$ ,

$$k\beta_n \geq \beta_{n-1} \geq \dots \geq \beta_1 \geq \tau\beta_0.$$

Then all the zeros of  $P(z)$  lie in the closed disk

$$\left| z + (k-1) \frac{\beta_n}{a_n} \right| \leq \frac{k\beta_n + 2|\beta_0| - \tau(\beta_0 + |\beta_0|) + 2\sum_{j=0}^n |\alpha_j|}{|a_n|}.$$

**Theorem F :** Let  $P(z) = \sum_{j=0}^n a_j z^j$  be a polynomial of degree  $n$  such that for some

real  $\alpha, \beta; \left| \arg a_j - \beta \right| \leq \alpha \leq \frac{\pi}{2}, j = 0, 1, 2, \dots, n$ , and for some  $k \geq 1, 0 < \tau \leq 1$ ,

$$k|a_n| \geq |a_{n-1}| \geq \dots \geq |a_1| \geq \tau|a_0|.$$

Then all the zeros of  $P(z)$  lie in the closed disk

$$|z| \leq \frac{k|a_n|(1 + \cos \alpha + \sin \alpha) - |a_n| + 2|a_0| - \tau|a_0|(\cos \alpha - \sin \alpha + 1) + 2 \sin \alpha \sum_{j=1}^{n-1} |a_j|}{|a_n|}.$$

Some questions which have been raised by some researchers in connection with the Enestrom-Kakeya Theorem are[2]:

What happens, if (i) instead of the leading coefficient  $a_n$ , there is some  $a_j$  with

$a_{j+1} \geq a_j < a_{j-1}$  such that for some  $k \geq 1, a_n \geq a_{n-1} \geq \dots \geq a_{j+1} \geq ka_j \geq a_{j-1} \dots \geq \alpha_1 \geq \alpha_0, j=1, 2, \dots, n$  and (ii) for some  $k_1 \geq 1, k_2 \geq 1; k_1 a_n \geq k_2 a_{n-1} \geq \dots \geq a_1 \geq a_0$ .

In this direction, Liman and Shah [7, Cor.1] have proved the following result:

**Theorem G:** Let  $P(z) = \sum_{j=0}^n a_j z^j$  be a polynomial of degree  $n$  such that for some  $k \geq 1,$

$$a_n \geq a_{n-1} \geq \dots \geq a_{\lambda+1} \geq ka_\lambda \geq a_{\lambda-1} \dots \geq a_1 \geq a_0.$$

Then  $P(z)$  has all its zeros in

$$|z| \leq \frac{a_n - a_0 + |a_0| + (k-1) \left\{ \sum_{j=\lambda}^n (a_j + |a_j|) - |a_n| \right\}}{|a_n|}.$$

Unfortunately, the conclusion of the theorem is not correct and their claim that it follows from Theorem 1 in [7] is false. The correct form of the result is as follows:

**Theorem H:** Let  $P(z) = \sum_{j=0}^n a_j z^j$  be a polynomial of degree  $n$  with  $\text{Re}(a_j) = \alpha_j,$

$\text{Im}(a_j) = \beta_j, j = 0, 1, \dots, n$  such that for some  $k \geq 1,$

$$a_n \geq a_{n-1} \geq \dots \geq a_{\lambda+1} \geq ka_\lambda \geq a_{\lambda-1} \dots \geq a_1 \geq a_0.$$

Then  $P(z)$  has all its zeros in

$$|z| \leq \frac{a_n - a_0 + |a_0| + 2(k-1)|a_\lambda|}{|a_n|}.$$

In this paper, we are going to prove the following more general result:

**Theorem 1:** Let  $P(z) = \sum_{j=0}^n a_j z^j$  be a polynomial of degree  $n$  such that for some  $k \geq 1, 0 < \tau \leq 1$ ,

$$a_n \geq a_{n-1} \geq \dots \geq a_{\lambda+1} \geq ka_\lambda \geq a_{\lambda-1} \dots \geq a_1 \geq \tau a_0.$$

Then  $P(z)$  has all its zeros in

$$|z| \leq \frac{a_n + 2(k-1)|a_\lambda| - \tau(a_0 + |a_0|) + 2|a_0|}{|a_n|}.$$

**Remark 1:** For  $\tau = 1$ , Theorem 1 reduces to Theorem H.

Taking in particular  $k = \frac{a_{\lambda-1}}{a_\lambda} \geq 1$  in Theorem 1, we get the following

**Corollary 1:** Let  $P(z) = \sum_{j=0}^n a_j z^j$  be a polynomial of degree  $n$  with  $\text{Re}(a_j) = \alpha_j$ ,

$\text{Im}(a_j) = \beta_j, j = 0, 1, \dots, n$  such that

$$a_n \geq a_{n-1} \geq \dots \geq a_{\lambda+1} \geq a_\lambda \leq a_{\lambda-1} \geq \dots \geq a_1 \geq \tau a_0.$$

Then  $P(z)$  has all its zeros in

$$|z| \leq \frac{a_n + 2\left(\frac{a_{\lambda-1} - a_\lambda}{a_\lambda}\right)|a_\lambda| - \tau(a_0 + |a_0|) + 2|a_0|}{|a_n|}.$$

For  $\tau = 1$ , Cor. 1 reduces to the following

**Corollary 2:** Let  $P(z) = \sum_{j=0}^n a_j z^j$  be a polynomial of degree  $n$  with  $\text{Re}(a_j) = \alpha_j$ ,

$\text{Im}(a_j) = \beta_j, j = 0, 1, \dots, n$  such that

$$a_n \geq a_{n-1} \geq \dots \geq a_{\lambda+1} \geq a_\lambda \leq a_{j-1} \geq \dots \geq a_1 \geq a_0.$$

Then  $P(z)$  has all its zeros in

$$|z| \leq \frac{a_n + \left(\frac{a_{\lambda-1} - a_\lambda}{a_\lambda}\right)(|a_\lambda| - a_0 + |a_0|)}{|a_n|}.$$

Theorem 1 is a special case of the following more general result:

**Theorem 2:** Let  $P(z) = \sum_{j=0}^n a_j z^j$  be a polynomial of degree  $n$  with  $\text{Re}(a_j) = \alpha_j$ ,

$\text{Im}(a_j) = \beta_j, j = 0, 1, \dots, n$  such that for some  $k \geq 1$ ,

$$\alpha_n \geq \alpha_{n-1} \geq \dots \geq \alpha_{\lambda+1} \geq k\alpha_\lambda \geq \alpha_{\lambda-1} \dots \geq \alpha_1 \geq \tau\alpha_0.$$

Then  $P(z)$  has all its zeros in

$$|z| \leq \frac{\alpha_n + 2(k-1)|\alpha_\lambda| - \tau(\alpha_0 + |\alpha_0|) + 2|\alpha_0| + 2\sum_{j=0}^n |\beta_j|}{|a_n|}.$$

**Remark 2:** If  $\beta_j = 0, \forall j = 0, 1, 2, \dots, n$  i.e.  $a_j$  is real, then Theorem 2 reduces to Theorem 1.

Applying Theorem 2 to the polynomial  $-iP(z)$ , we get the following result:

**Theorem 3:** Let  $P(z) = \sum_{j=0}^n a_j z^j$  be a polynomial of degree  $n$  with  $\text{Re}(a_j) = \alpha_j$ ,

$\text{Im}(a_j) = \beta_j, j = 0, 1, \dots, n$  such that for some  $k \geq 1, 0 < \tau \leq 1$ ,

$$\beta_n \geq \beta_{n-1} \geq \dots \geq \beta_{j+1} \geq k\beta_j \geq \beta_{j-1} \dots \geq \beta_1 \geq \tau\beta_0.$$

Then  $P(z)$  has all its zeros in

$$|z| \leq \frac{a_n + 2(k-1)|\beta_n| - \tau(\beta_0 + |\beta_0|) + 2|\beta_0| + 2\sum_{j=0}^n |\alpha_j|}{|a_n|}.$$

For polynomials with complex coefficients, we have the following form of Theorem 1:

**Theorem 4:** Let  $P(z) = \sum_{j=0}^n a_j z^j$  be a polynomial of degree  $n$  such that for some  $k \geq 1, 0 < \tau \leq 1$ ,

$$|a_n| \geq |a_{n-1}| \geq \dots \geq |a_{\lambda+1}| \geq k|a_\lambda| \geq |a_{\lambda-1}| \dots \geq |a_1| \geq \tau|a_0|.$$

Then  $P(z)$  has all its zeros in

$$|z| \leq \frac{1}{|a_n|} [ |a_n|(\cos \alpha + \sin \alpha) - k|a_\lambda|(\cos \alpha - \sin \alpha - 1) + 2|a_\lambda|(k + k \sin \alpha - 1) - \tau|a_0|(\cos \alpha - \sin \alpha + 1) + 2|a_0| ]$$

**Remark 3:** For  $k=1$ , Theorem 4 reduces to Theorem F with  $k=1$ .

Next, we prove the following result:

**Theorem 5:** Let  $P(z) = \sum_{j=0}^n a_j z^j$  be a polynomial of degree  $n$  with  $\text{Re}(a_j) = \alpha_j$ ,

$\text{Im}(a_j) = \beta_j, j = 0, 1, \dots, n$  such that for some  $k_1 \geq 1, k_2 \geq 1, 0 < \tau \leq 1$ ,

$$k_1\alpha_n \geq k_2\alpha_{n-1} \geq \alpha_{n-2} \dots \alpha_1 \geq \tau\alpha_0.$$

Then  $P(z)$  has all its zeros in

$$|z| \leq \frac{(k_1|a_n| + k_2|a_{n-1}|) + (k_1\alpha_n - k_2\alpha_{n-1}) + \alpha_{n-1} - (|a_n| + |a_{n-1}|) + 2|\alpha_0| + 2\sum_{j=0}^n |\beta_j|}{|a_n|}.$$

**Remark 4:** If  $\beta_j = 0, \forall j = 0, 1, 2, \dots, n$  i.e.  $a_j$  is real, we get the following result:

**Corollary 3:** Let  $P(z) = \sum_{j=0}^n a_j z^j$  be a polynomial of degree  $n$  such that for some

$k_1 \geq 1, k_2 \geq 1, 0 < \tau \leq 1$ ,

$$k_1 a_n \geq k_2 a_{n-1} \geq a_{n-2} \dots a_1 \geq \tau a_0.$$

Then  $P(z)$  has all its zeros in

$$|z| \leq \frac{(k_1|a_n| + k_2|a_{n-1}|) + (k_1 a_n - k_2 a_{n-1}) + a_{n-1} - (|a_n| + |a_{n-1}|) + 2|a_0|}{|a_n|}.$$

Applying Theorem 2 to the polynomial  $-iP(z)$ , we get the following result from Theorem 4:

**Theorem 6:** Let  $P(z) = \sum_{j=0}^n a_j z^j$  be a polynomial of degree  $n$  with  $\text{Re}(a_j) = \alpha_j$ ,

$\text{Im}(a_j) = \beta_j, j = 0, 1, \dots, n$  such that for some  $k_1 \geq 1, k_2 \geq 1, 0 < \tau \leq 1$ ,

$$k_1\beta_n \geq k_2\beta_{n-1} \geq \beta_{n-2} \dots \geq \beta_1 \geq \tau\beta_0.$$

Then P(z) has all its zeros in

$$|z| \leq \frac{(k_1|\beta_n| + k_2|\beta_{n-1}|) + (k_1\beta_n - k_2\beta_{n-1}) + \beta_{n-1} - (|\beta_n| + |\beta_{n-1}|) + 2|\beta_0| + 2\sum_{j=0}^n |\alpha_j|}{|a_n|}.$$

**Theorem 7:** Let  $P(z) = \sum_{j=0}^n a_j z^j$  be a polynomial of degree n such that for some

real  $\alpha, \beta; |\arg a_j - \beta| \leq \alpha \leq \frac{\pi}{2}, j = 0, 1, 2, \dots, n$ , and for some  $k_1 \geq 1, k_2 \geq 1, 0 < \tau \leq 1$ ,

$$k_1|a_n| \geq k_2|a_{n-1}| \geq |a_{n-2}| \dots \geq |a_1| \geq \tau|a_0|.$$

Then P(z) has all its zeros in

$$|z| \leq \frac{1}{|a_n|} \left[ k_1|a_n|(1 + \cos \alpha + \sin \alpha) + k_2|a_{n-1}|(1 - \cos \alpha + \sin \alpha) - |a_n| - |a_{n-1}|(1 - \cos \alpha) + 2|a_0| \right] - \tau|a_0|(\cos \alpha - \sin \alpha + 1) + 2 \sin \alpha \sum_{j=1}^{n-2} |a_j|$$

**Remark 4:** For  $k_1 = k, k_2 = 1$ , Theorem 6 reduces to Theorem F.

Taking  $\tau = 1$  in Theorem 7, we get the following

**Corollary 4:** Let  $P(z) = \sum_{j=0}^n a_j z^j$  be a polynomial of degree n such that for some

real  $\alpha, \beta; |\arg a_j - \beta| \leq \alpha \leq \frac{\pi}{2}, j = 0, 1, 2, \dots, n$ , and for some  $k_1 \geq 1, k_2 \geq 1$ ,

$$k_1|a_n| \geq k_2|a_{n-1}| \geq |a_{n-2}| \dots \geq |a_1| \geq |a_0|.$$

Then P(z) has all its zeros in

$$|z| \leq \frac{1}{|a_n|} [k_1|a_n|(1 + \cos \alpha + \sin \alpha) + k_2|a_{n-1}|(1 - \cos \alpha + \sin \alpha) - |a_n| - |a_{n-1}|(1 - \cos \alpha) + 2|a_0| + 2 \sin \alpha \sum_{j=1}^{n-1} |a_j|].$$

## II. LEMMA

For the proof of Theorem 6, we need the following lemma:

**Lemma:** Let  $a_1$  and  $a_2$  be any two complex numbers such that  $|a_1| \geq |a_2|$  and for some real numbers  $\alpha$  and

$\beta, |\arg a_j - \beta| \leq \alpha \leq \frac{\pi}{2}, j = 1, 2$ , then

$$|a_1 - a_2| \leq (|a_1| - |a_2|) \cos \alpha + (|a_1| + |a_2|) \sin \alpha.$$

The above lemma is due to Govil and Rahman [3].

## 3. Proofs of Theorems

**Proof of Theorem 2:** Consider the polynomial

$$\begin{aligned} F(z) &= (1-z)P(z) = (1-z)(a_n z^n + a_{n-1} z^{n-1} + \dots + a_1 z + a_0) \\ &= -a_n z^{n+1} + (a_n - a_{n-1})z^n + (a_{n-1} - a_{n-2})z^{n-1} + \dots + (a_{\lambda+1} - a_\lambda)z^{\lambda+1} \\ &\quad + (a_\lambda - a_{\lambda-1})z^\lambda + \dots + (a_1 - a_0)z + a_0 \end{aligned}$$

$$\begin{aligned}
 &= -a_n z^{n+1} + (\alpha_n - \alpha_{n-1})z^n + (\alpha_{n-1} - \alpha_{n-2})z^{n-1} + \dots \\
 &\quad + \{(\alpha_{\lambda+1} - k\alpha_\lambda) + (k\alpha_\lambda - \alpha_{\lambda-1})\} z^{\lambda+1} + \{(k\alpha_\lambda - \alpha_{\lambda-1}) - (k\alpha_\lambda - \alpha_\lambda)\} z^\lambda + \dots \\
 &\quad + \{(\alpha_1 - \tau\alpha_0) + (\tau\alpha_0 - \alpha_0)\} z + \alpha_0 + i\{(\beta_n - \beta_{n-1})z^n + \dots + (\beta_1 - \beta_0)z + \beta_0\}
 \end{aligned}$$

For  $|z| > 1$ , we have,  $\frac{1}{|z|^j} < 1, \forall j = 1, 2, \dots, n$  so that, by using the hypothesis,

$$\begin{aligned}
 |F(z)| &\geq |a_n| |z|^{n+1} - [|\alpha_n - \alpha_{n-1}| |z|^n + |\alpha_{n-1} - \alpha_{n-2}| |z|^{n-1} + \dots + |\alpha_{\lambda+1} - k\alpha_\lambda| |z|^{\lambda+1} \\
 &\quad + (k-1)|\alpha_\lambda| |z|^{\lambda+1} + |k\alpha_\lambda - \alpha_{\lambda-1}| |z|^\lambda + (k-1)|\alpha_\lambda| |z|^\lambda + \dots + |\alpha_1 - \tau\alpha_0| |z| \\
 &\quad + (1-\tau)|\alpha_0| |z| + |\alpha_0| + \sum_{j=1}^n |\beta_j - \beta_{j-1}| |z|^j + |\beta_0|] \\
 &= |z|^n [ |a_n| |z| - \{ |\alpha_n - \alpha_{n-1}| + |\alpha_{n-1} - \alpha_{n-2}| \frac{1}{|z|} + \dots + |\alpha_{\lambda+1} - k\alpha_\lambda| \frac{1}{|z|^{n-\lambda-1}} \\
 &\quad + (k-1)|\alpha_\lambda| \frac{1}{|z|^{n-\lambda-1}} + |k\alpha_\lambda - \alpha_{\lambda-1}| \frac{1}{|z|^{n-\lambda}} + (k-1)|\alpha_\lambda| \frac{1}{|z|^{n-\lambda}} + \dots + |\alpha_1 - \tau\alpha_0| \frac{1}{|z|^{n-1}} \\
 &\quad + (1-\tau)|\alpha_0| \frac{1}{|z|^{n-1}} + |\alpha_0| \frac{1}{|z|^n} + \sum_{j=1}^n |\beta_j - \beta_{j-1}| \frac{1}{|z|^{n-j}} + |\beta_0| \frac{1}{|z|^n} \} ] \\
 &> |z|^n [ |a_n| |z| - [|\alpha_n - \alpha_{n-1}| + |\alpha_{n-1} - \alpha_{n-2}| + \dots + |\alpha_{\lambda+1} - k\alpha_\lambda| + (k-1)|\alpha_\lambda| \\
 &\quad + |k\alpha_\lambda - \alpha_{\lambda-1}| + (k-1)|\alpha_\lambda| + \dots + |\alpha_1 - \tau\alpha_0| + (1-\tau)|\alpha_0| + |\alpha_0| \\
 &\quad + \sum_{j=1}^n (|\beta_j| + |\beta_{j-1}|) + |\beta_0| ] \\
 &= |z|^n [ |a_n| |z| - [|\alpha_n + 2(k-1)|\alpha_\lambda| - \tau(\alpha_0 + |\alpha_0|) + 2|\alpha_0| + 2\sum_{j=0}^n |\beta_j|] \\
 &> 0
 \end{aligned}$$

if

$$|z| > \frac{|\alpha_n + 2(k-1)|\alpha_\lambda| - \tau(\alpha_0 + |\alpha_0|) + 2|\alpha_0| + 2\sum_{j=0}^n |\beta_j|}{|a_n|} .$$

This shows that the zeros of F(z) having modulus greater than 1 lie in

$$|z| \leq \frac{|\alpha_n + 2(k-1)|\alpha_\lambda| - \tau(\alpha_0 + |\alpha_0|) + 2|\alpha_0| + 2\sum_{j=0}^n |\beta_j|}{|a_n|} .$$

But the zeros of F(z) whose modulus is less than or equal to 1 already satisfy the above inequality. Hence, it follows that all the zeros of F(z) lie in

$$|z| \leq \frac{|\alpha_n + 2(k-1)|\alpha_\lambda| - \tau(\alpha_0 + |\alpha_0|) + 2|\alpha_0| + 2\sum_{j=0}^n |\beta_j|}{|a_n|} .$$

Since the zeros of  $P(z)$  are also the zeros of  $F(z)$ , the result follows.

**Proof of Theorem 4:** Consider the polynomial

$$\begin{aligned}
 F(z) &= (1-z)P(z) = (1-z)(a_n z^n + a_{n-1} z^{n-1} + \dots + a_1 z + a_0) \\
 &= -a_n z^{n+1} + (a_n - a_{n-1})z^n + (a_{n-1} - a_{n-2})z^{n-1} + \dots + \{(a_{\lambda+1} - ka_\lambda) + (ka_\lambda - a_\lambda)\} z^{\lambda+1} \\
 &\quad + \{(ka_\lambda - a_{\lambda-1}) - (ka_\lambda - a_\lambda)\} z^\lambda + (a_{\lambda-1} - a_{\lambda-2})z^{\lambda-1} + \dots \\
 &\quad \{(a_1 - \tau a_0) + (\tau a_0 - a_0)\} z + a_0.
 \end{aligned}$$

For  $|z| > 1$ , we have,  $\frac{1}{|z|^j} < 1, \forall j = 1, 2, \dots, n$ , so that, by using the hypothesis and the Lemma,

$$\begin{aligned}
 |F(z)| &\geq |a_n| |z|^{n+1} - [|a_n - a_{n-1}| |z|^n + |a_{n-1} - a_{n-2}| |z|^{n-1} + \dots + |a_{\lambda+1} - ka_\lambda| |z|^{\lambda+1} \\
 &\quad + (k-1)|a_\lambda| |z|^{\lambda+1} + |ka_\lambda - a_{\lambda-1}| |z|^\lambda + (k-1)|a_\lambda| |z|^\lambda + \dots + |a_1 - \tau a_0| |z| \\
 &\quad + (1-\tau)|a_0| |z| + |a_0|] \\
 &= |z|^n [ |a_n| |z| - \{|a_n - a_{n-1}| + |a_{n-1} - a_{n-2}| \frac{1}{|z|} + \dots + |a_{\lambda+1} - ka_\lambda| \frac{1}{|z|^{n-\lambda-1}} \\
 &\quad + (k-1)|a_\lambda| \frac{1}{|z|^{n-\lambda-1}} + |ka_\lambda - a_{\lambda-1}| \frac{1}{|z|^{n-\lambda}} + (k-1)|a_\lambda| \frac{1}{|z|^{n-\lambda}} + \dots + |a_1 - \tau a_0| \frac{1}{|z|^{n-1}} \\
 &\quad + (1-\tau)|a_0| \frac{1}{|z|^{n-1}} + |a_0| \frac{1}{|z|^n} \}] \\
 &> |z|^n [ |a_n| |z| - \{|a_n - a_{n-1}| + |a_{n-1} - a_{n-2}| + \dots + |a_{\lambda+1} - ka_\lambda| \\
 &\quad + (k-1)|a_\lambda| + |ka_\lambda - a_{\lambda-1}| + (k-1)|a_\lambda| + \dots + |a_1 - \tau a_0| \\
 &\quad + (1-\tau)|a_0| + |a_0| \}] \\
 &\geq |z|^n [ |a_n| |z| - \{(|a_n| - |a_{n-1}|) \cos \alpha + (|a_n| + |a_{n-1}|) \sin \alpha + (|a_{n-1}| - |a_{n-2}|) \cos \alpha \\
 &\quad + (|a_{n-1}| + |a_{n-2}|) \sin \alpha + \dots + (|a_{\lambda+1}| - k|a_\lambda|) \cos \alpha + (|a_{\lambda+1}| + k|a_\lambda|) \sin \alpha \\
 &\quad + (k-1)|a_\lambda| + (k|a_\lambda| - |a_{\lambda+1}|) \cos \alpha + (k|a_\lambda| + |a_{\lambda+1}|) \sin \alpha + (k-1)|a_\lambda| \\
 &\quad + (|a_{\lambda+1}| - |a_{\lambda-2}|) \cos \alpha + (|a_{\lambda+1}| + |a_{\lambda-2}|) \sin \alpha + \dots + (|a_1| - \tau|a_0|) \cos \alpha \\
 &\quad + (|a_1| + \tau|a_0|) \sin \alpha + (1-\tau)|a_0| + |a_0| \}] \\
 &= |z|^n [ |a_n| |z| - \{|a_n| (\cos \alpha + \sin \alpha) - k|a_\lambda| (\cos \alpha - \sin \alpha - 1) + 2|a_\lambda| (k + k \sin \alpha - 1) \\
 &\quad - \tau|a_0| (\cos \alpha - \sin \alpha + 1) + 2|a_0| \}] \\
 &> 0
 \end{aligned}$$

if

$$\begin{aligned}
 |z| &> \frac{1}{|a_n|} [ |a_n| (\cos \alpha + \sin \alpha) - k|a_\lambda| (\cos \alpha - \sin \alpha - 1) + 2|a_\lambda| (k + k \sin \alpha - 1) \\
 &\quad - \tau|a_0| (\cos \alpha - \sin \alpha + 1) + 2|a_0| ]
 \end{aligned}$$

This shows that the zeros of  $F(z)$  having modulus greater than 1 lie in

$$|z| \leq \frac{1}{|a_n|} [ |a_n| (\cos \alpha + \sin \alpha) - k |a_\lambda| (\cos \alpha - \sin \alpha - 1) + 2 |a_\lambda| (k + k \sin \alpha - 1) - \tau |a_0| (\cos \alpha - \sin \alpha + 1) + 2 |a_0| ]$$

But the zeros of  $F(z)$  whose modulus is less than or equal to 1 already satisfy the above inequality. Hence, it follows that all the zeros of  $F(z)$  lie in

$$|z| \leq \frac{1}{|a_n|} [ |a_n| (\cos \alpha + \sin \alpha) - k |a_\lambda| (\cos \alpha - \sin \alpha - 1) + 2 |a_\lambda| (k + k \sin \alpha - 1) - \tau |a_0| (\cos \alpha - \sin \alpha + 1) + 2 |a_0| ]$$

Since the zeros of  $P(z)$  are also the zeros of  $F(z)$ , the result follows.

**Proof of Theorem 5:** Consider the polynomial

$$\begin{aligned} F(z) &= (1-z)P(z) = (1-z)(a_n z^n + a_{n-1} z^{n-1} + \dots + a_1 z + a_0) \\ &= -a_n z^{n+1} + (a_n - a_{n-1})z^n + (a_{n-1} - a_{n-2})z^{n-1} + \dots + (a_1 - a_0)z + a_0 \\ &= -a_n z^{n+1} + \{ (k_1 \alpha_n - k_2 \alpha_{n-1}) - (k_1 \alpha_n - \alpha_n) + (k_2 \alpha_{n-1} - \alpha_{n-1}) \} z^n + (\alpha_{n-1} - \alpha_{n-2})z^{n-1} \\ &\quad + \dots + \{ (\alpha_1 - \tau \alpha_0) + (\tau \alpha_0 - \alpha_0) \} z + \alpha_0 + i \{ (\beta_n - \beta_{n-1})z^n + \dots \\ &\quad + (\beta_1 - \beta_0)z + \beta_0 \} \end{aligned}$$

For  $|z| > 1$ , we have,  $\frac{1}{|z|^j} < 1, \forall j = 1, 2, \dots, n$ , so that, by using the hypothesis,

$$\begin{aligned} |F(z)| &\geq |a_n| |z|^{n+1} - [ |k_1 \alpha_n - k_2 \alpha_{n-1}| |z|^n + |k_1 \alpha_n - \alpha_n| |z|^n + |k_2 \alpha_{n-1} - \alpha_{n-1}| |z|^n + |\alpha_{n-1} - \alpha_{n-2}| |z|^{n-1} \\ &\quad + \dots + |\alpha_1 - \tau \alpha_0| |z| + (1-\tau) |\alpha_0| |z| + |\alpha_0| + \sum_{j=1}^n |\beta_j - \beta_{j-1}| |z|^j + |\beta_0| ] \\ &= |z|^n [ |a_n| |z| - [ |k_1 \alpha_n - k_2 \alpha_{n-1}| + |k_1 \alpha_n - \alpha_n| + |k_2 \alpha_{n-1} - \alpha_{n-1}| + |\alpha_{n-1} - \alpha_{n-2}| \frac{1}{|z|} \dots \\ &\quad + |\alpha_1 - \tau \alpha_0| \frac{1}{|z|^{n-1}} + (1-\tau) |\alpha_0| \frac{1}{|z|^{n-1}} + |\alpha_0| \frac{1}{|z|^n} + \sum_{j=1}^n |\beta_j - \beta_{j-1}| \frac{1}{|z|^{n-j}} + |\beta_0| \frac{1}{|z|^n} ] ] \\ &> |z|^n [ |a_n| |z| - \{ |k_1 \alpha_n - k_2 \alpha_{n-1}| + (k_1 - 1) |\alpha_n| + (k_2 - 1) |\alpha_{n-1}| + \alpha_{n-1} - \alpha_{n-2} + \dots \\ &\quad + \alpha_1 - \tau \alpha_0 + (1-\tau) |\alpha_0| + |\alpha_0| + \sum_{j=1}^n (|\beta_j| + |\beta_{j-1}|) + |\beta_0| \} ] \\ &= |z|^n [ |a_n| |z| - \{ |k_1 \alpha_n - k_2 \alpha_{n-1}| + (k_1 - 1) |\alpha_n| + (k_2 - 1) |\alpha_{n-1}| + \alpha_{n-1} + \dots \\ &\quad - \tau (\alpha_0 + |\alpha_0|) + 2 |\alpha_0| + 2 \sum_{j=0}^n |\beta_j| \} ] \\ &= |z|^n [ |a_n| |z| - \{ (k_1 |\alpha_n| + k_2 |\alpha_{n-1}|) + (k_1 \alpha_n - k_2 \alpha_{n-1}) + \alpha_{n-1} - (|\alpha_n| + |\alpha_{n-1}|) \\ &\quad + 2 |\alpha_0| + 2 \sum_{j=0}^n |\beta_j| \} ] \end{aligned}$$

> 0

if

$$|z| > \frac{1}{|a_n|} [(k_1|\alpha_n| + k_2|\alpha_{n-1}|) + (k_1\alpha_n - k_2\alpha_{n-1}) + \alpha_{n-1} - (|\alpha_n| + |\alpha_{n-1}|) + 2|\alpha_0| + 2\sum_{j=0}^n |\beta_j|]$$

This shows that the zeros of F(z) having modulus greater than 1 lie in

$$|z| \leq \frac{1}{|a_n|} [(k_1|\alpha_n| + k_2|\alpha_{n-1}|) + (k_1\alpha_n - k_2\alpha_{n-1}) + \alpha_{n-1} - (|\alpha_n| + |\alpha_{n-1}|) + 2|\alpha_0| + 2\sum_{j=0}^n |\beta_j|].$$

But the zeros of F(z) whose modulus is less than or equal to 1 already satisfy the above inequality. Hence, it follows that all the zeros of F(z) lie in

$$|z| \leq \frac{1}{|a_n|} [(k_1|\alpha_n| + k_2|\alpha_{n-1}|) + (k_1\alpha_n - k_2\alpha_{n-1}) + \alpha_{n-1} - (|\alpha_n| + |\alpha_{n-1}|) + 2|\alpha_0| + 2\sum_{j=0}^n |\beta_j|]$$

Since the zeros of P(z) are also the zeros of F(z), Theorem 4 follows.

**Proof of Theorem 6.** Consider the polynomial

$$\begin{aligned} F(z) &= (1-z)P(z) = (1-z)(a_n z^n + a_{n-1} z^{n-1} + \dots + a_1 z + a_0) \\ &= -a_n z^{n+1} + (a_n - a_{n-1})z^n + (a_{n-1} - a_{n-2})z^{n-1} + \dots + (a_1 - a_0)z + a_0 \\ &= -a_n z^{n+1} + \{(k_1 a_n - k_2 a_{n-1}) - (k_1 a_n - a_n) + (k_2 a_{n-1} - a_{n-1})\} z^n + (a_{n-1} - a_{n-2}) z^{n-1} \\ &\quad + \dots + \{(a_1 - \tau a_0) + (\tau a_0 - a_0)\} z + a_0 \end{aligned}$$

For  $|z| > 1$ , we have,  $\frac{1}{|z|^j} < 1, \forall j = 1, 2, \dots, n$ , so that, by using the hypothesis and the Lemma,

$$\begin{aligned} |F(z)| &\geq |a_n||z|^{n+1} - [k_1 a_n - k_2 a_{n-1}]|z|^n + |k_1 a_n - a_n||z|^n + |k_2 a_{n-1} - a_{n-1}||z|^n + |a_{n-1} - a_{n-2}||z|^{n-1} \\ &\quad + \dots + |a_1 - \tau a_0||z| + (1-\tau)|a_0||z| + |a_0| \\ &= |z|^n [ |a_n||z| - [k_1 a_n - k_2 a_{n-1}] + |k_1 a_n - a_n| + |k_2 a_{n-1} - a_{n-1}| + |a_{n-1} - a_{n-2}| \frac{1}{|z|} + \dots \\ &\quad + |a_1 - \tau a_0| \frac{1}{|z|^{n-1}} + (1-\tau)|a_0| \frac{1}{|z|^{n-1}} + |a_0| \frac{1}{|z|^n} ] \\ &> |z|^n [ |a_n||z| - \{ |k_1 a_n - k_2 a_{n-1}| + |k_1 a_n - a_n| + |k_2 a_{n-1} - a_{n-1}| + |a_{n-1} - a_{n-2}| + \dots \\ &\quad + |a_1 - \tau a_0| + (1-\tau)|a_0| + |a_0| \} ] \\ &\geq |z|^n [ |a_n||z| - \{ (k_1|a_n| - k_2|a_{n-1}|) \cos \alpha + (k_1|a_n| + k_2|a_{n-1}|) \sin \alpha + (k_1 - 1)|a_n| \\ &\quad + (k_2 - 1)|a_{n-1}| + (|a_{n-1}| - |a_{n-2}|) \cos \alpha + (|a_{n-1}| + |a_{n-2}|) \sin \alpha + \dots \\ &\quad + (|a_1| - \tau|a_0|) \cos \alpha + (|a_1| + \tau|a_0|) \sin \alpha + (1-\tau)|a_0| \} ] \\ &= |z|^n [ |a_n||z| - \{ k_1|a_n|(1 + \cos \alpha + \sin \alpha) + k_2|a_{n-1}|(1 - \cos \alpha + \sin \alpha) - |a_n| \\ &\quad - |a_{n-1}|(1 - \cos \alpha) - \tau|a_0|(1 + \cos \alpha - \sin \alpha) + 2|a_0| + 2 \sin \alpha \sum_{j=1}^{n-1} |a_j| \} ] \end{aligned}$$

>0

if

$$|z| > \frac{1}{|a_n|} [k_1 |a_n| (1 + \cos \alpha + \sin \alpha) + k_2 |a_{n-1}| (1 - \cos \alpha + \sin \alpha) - |a_n| - |a_{n-1}| (1 - \cos \alpha) - \tau |a_0| (1 + \cos \alpha - \sin \alpha) + 2|a_0| + 2 \sin \alpha \sum_{j=1}^{n-1} |a_j|].$$

This shows that the zeros of F(z) having modulus greater than 1 lie in

$$|z| \leq \frac{1}{|a_n|} [k_1 |a_n| (1 + \cos \alpha + \sin \alpha) + k_2 |a_{n-1}| (1 - \cos \alpha + \sin \alpha) - |a_n| - |a_{n-1}| (1 - \cos \alpha) - \tau |a_0| (1 + \cos \alpha - \sin \alpha) + 2|a_0| + 2 \sin \alpha \sum_{j=1}^{n-1} |a_j|].$$

But the zeros of F(z) whose modulus is less than or equal to 1 already satisfy the above inequality. Hence, it follows that all the zeros of F(z) lie in

$$|z| \leq \frac{1}{|a_n|} [k_1 |a_n| (1 + \cos \alpha + \sin \alpha) + k_2 |a_{n-1}| (1 - \cos \alpha + \sin \alpha) - |a_n| - |a_{n-1}| (1 - \cos \alpha) - \tau |a_0| (1 + \cos \alpha - \sin \alpha) + 2|a_0| + 2 \sin \alpha \sum_{j=1}^{n-1} |a_j|].$$

Since the zeros of P(z) are also the zeros of F(z), the result follows.

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