

Location Of The Zeros Of Polynomials

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Abstract: In this paper we prove some results on the location of zeros of a certain class of polynomials which among other things generalize some known results in the theory of the distribution of zeros of polynomials.

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1. Introduction And Statement Of Results

A celebrated result on the bounds for the zeros of a polynomial with real coefficients is the following theorem, known as Enestrom –Kakeya Theorem [1,p.106]

Theorem A: If $0 < a_0 \leq a_1 \leq \dots \leq a_n$, then all the zeros of the polynomial

$$P(z) = a_0 + a_1 z + a_2 z^2 + \dots + a_{n-1} z^{n-1} + a_n z^n$$

lie in $|z| \leq 1$.

Regarding the bounds for the zeros of a polynomial with leading coefficient unity, Montel and Marty [1,p.107] proved the following theorem:

Theorem B: All the zeros of the polynomial

$$P(z) = a_0 + a_1 z + a_2 z^2 + \dots + a_{n-1} z^{n-1} + z^n$$

lie in $|z| \leq \max(L, L^{\frac{1}{n}})$ where L is the length of the polygonal line joining in succession the points $0, a_0, a_1, \dots, a_{n-1}, i.e.$

$$L = |a_0| + |a_1 - a_0| + \dots + |a_{n-1} - a_{n-2}| + |1 - a_{n-1}|.$$

Q .G. Mohammad [2] proved the following generalization of Theorem B:

Theorem C: All the zeros of the polynomial Of Theorem A lie in

$$|z| \leq R = \max(L_p, L_p^{\frac{1}{n}})$$

where

$$L_p = n^{\frac{1}{q}} \left(\sum_{j=0}^{n-1} |a_j|^p \right)^{\frac{1}{p}}, p^{-1} + q^{-1} = 1.$$

The bound in Theorem C is sharp and the limit is attained by

$$P(z) = z^n - \frac{1}{n} (z^{n-1} + z^{n-2} + \dots + z + 1).$$

Letting $q \rightarrow \infty$ in Theorem C, we get the following result:

Theorem D: All the zeros of $P(z)$ Of Theorem A lie in $|z| \leq \max(L_1, L_1^{\frac{1}{n}})$ where

$$L_1 = \sum_{i=0}^{n-1} |a_i|.$$

Applying Theorem D to the polynomial $(1-z)P(z)$, we get Theorem B.

Q.G. Mohammad, in the same paper, applied Theorem D to prove the following result:

Theorem E: If $0 < a_{j-1} \leq ka_j, k > 0$, then all the zeros of

$$P(z) = a_0 + a_1 z + a_2 z^2 + \dots + a_{n-1} z^{n-1} + a_n z^n$$

lie in $|z| \leq \max(M, M^{\frac{1}{n}})$ where

$$M = \frac{(a_0 + a_1 + \dots + a_{n-1})}{a_n} (k-1) + k.$$

The aim of this paper is to give generalizations of Theorems C and E. In fact, we are going to prove the following results:

Theorem 1: All the zeros of the polynomial

$$P(z) = a_0 + a_1 z + a_2 z^2 + \dots + a_\mu z^\mu + z^n, 0 \leq \mu \leq n-1$$

lie in

$$|z| \leq R = \max(L_p, L_p^{\frac{1}{n}})$$

where

$$L_p = n^{\frac{1}{q}} \left(\sum_{j=0}^{\mu} |a_j|^p \right)^{\frac{1}{p}}, p^{-1} + q^{-1} = 1.$$

Remark 1: Taking $\mu = n-1$, Theorem 1 reduces to Theorem C.

Theorem 2: If $0 < a_{j-1} \leq ka_j, k > 0$, then all the zeros of

$$P(z) = a_0 + a_1 z + a_2 z^2 + \dots + a_\mu z^\mu + a_n z^n, 0 \leq \mu \leq n-1,$$

lie in $|z| \leq \max(M, M^{\frac{1}{n}})$ where

$$M = \frac{(a_0 + a_1 + \dots + a_\mu)}{a_n} (k-1) + k.$$

Remark 2: Taking $\mu = n-1$, Theorem 2 reduces to Theorem E and taking $\mu = n-1, k=1$, Theorem 2 reduces to Theorem A due to Enestrom and Kakeya..

2. Proofs Of Theorems

Proof of Theorem 1. Applying Holder's inequality, we have

$$\begin{aligned} |P(z)| &= |a_0 + a_1 z + a_2 z^2 + \dots + a_\mu z^\mu + z^n| \\ &\geq |z|^n \left[1 - \sum_{j=1}^{\mu+1} |a_{j-1}| \frac{1}{|z|^{n-j+1}} \right] \\ &\geq |z|^n \left[1 - n^{\frac{1}{q}} \left(\sum_{j=1}^{\mu+1} |a_{j-1}|^p \frac{1}{|z|^{(n-j+1)p}} \right)^{\frac{1}{p}} \right]. \end{aligned}$$

If $L_p \geq 1$, $\max(L_p, L_p^{\frac{1}{n}}) = L_p$. Let $|z| \geq 1$. Then $\frac{1}{|z|^{(n-j+1)p}} \leq \frac{1}{|z|^p}, j = 1, 2, \dots, \mu+1$.

Hence it follows that for $|z| > L_p$,

$$|P(z)| \geq |z|^n \left[1 - \frac{n^{\frac{1}{p}}}{|z|^{\frac{1}{p}}} \left(\sum_{j=0}^{\mu} |a_j|^p \right)^{\frac{1}{p}} \right] = |z|^n \left[1 - \frac{L_p}{|z|} \right] > 0.$$

Again if $L_p \leq 1$, $\max(L_p, L_p^{\frac{1}{n}}) = L_p^{\frac{1}{n}}$. Let $|z| \leq 1$. Then

$$\frac{1}{|z|^{(n-j+1)p}} \leq \frac{1}{|z|^{np}}, j = 1, 2, \dots, \mu + 1.$$

Hence it follows that for $|z| > L_p^{\frac{1}{n}}$,

$$|P(z)| \geq |z|^n \left[1 - \frac{n^{\frac{1}{p}}}{|z|^{\frac{1}{p}}} \left(\sum_{j=0}^{\mu} |a_j|^p \right)^{\frac{1}{p}} \right] = |z|^n \left[1 - \frac{L_p}{|z|^n} \right] > 0.$$

Thus $P(z)$ does not vanish for $|z| > \max(L_p, L_p^{\frac{1}{n}})$ and hence the theorem follows.

Proof of Theorem 2. Consider the polynomial

$$\begin{aligned} F(z) &= (k - z)P(z) = (k - z)(a_0 + a_1 z + \dots + a_{\mu} z^{\mu} + a_n z^n) \\ &= ka_0 + (ka_1 - a_0)z + (ka_2 - a_1)z^2 + \dots + (ka_{\mu} - a_{\mu-1})z^{\mu} - a_{\mu} z^{\mu+1} \\ &\quad + ka_n z^n - a_n z^{n+1} \end{aligned}$$

Applying Theorem C to the polynomial $\frac{F(z)}{a_n}$, we find that

$$\begin{aligned} L_1 &= \frac{k(a_0 + a_1 + \dots + a_{\mu}) - (a_0 + a_1 + \dots + a_{\mu-1} + a_{\mu}) + ka_n}{a_n} \\ &= \frac{(k-1)(a_0 + a_1 + \dots + a_{\mu})}{a_n} + k \\ &= M \end{aligned}$$

and the theorem follows.

References

- [1] M. Marden, The Geometry of Zeros, Amer. Math. Soc. Math. Surveys, No. 3, New York 1949.
- [2] Q.G. Mohammad, Location of the Zeros of Polynomials, Amer. Math. Monthly, vol. 74, No. 3, March 1967.